

A Categorical Account of Replicated Data Types

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Abstract

Replicated Data Types (RDTs) have been introduced as a suitable abstraction for dealing with weakly consistent data stores, which may (temporarily) expose multiple, inconsistent views of their state. In the literature, RDTs are commonly specified in terms of two relations: visibility, which accounts for the different views that a store may have, and arbitration, which states the logical order imposed on the operations executed over the store. Different flavours, e.g., operational, axiomatic and functional, have recently been proposed for the specification of RDTs. In this work, we propose an algebraic characterisation of RDT specifications. We define categories of visibility relations and arbitrations, show the existence of relevant limits and colimits, and characterize RDT specifications as functors between such categories that preserve these additional structures.

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1 Introduction

The CAP theorem establishes that a distributed data store can simultaneously provide two of the following three properties: consistency, availability, and tolerance to network partitions [8]. A weakly consistent data store prioritises availability and partition tolerance over consistency. As a consequence, a weakly consistent data store may (temporarily) expose multiple, inconsistent views of its state; hence, the behaviour of operations may depend on the particular view over which they are executed. Replicated data types (RDT) have been proposed as suitable data type abstractions for weakly consistent data stores. The specification of such data types usually takes into account the particular views over which operations are executed. A view is usually represented by a *visibility* relation, which is a binary, acyclic relation over the operations (a.k.a. *events*) executed by the system. The



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$$\begin{array}{cc}
\mathcal{S}_{lwwR} \left(\begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \quad \langle \text{wr}(2), \text{ok} \rangle \\ \searrow \quad \swarrow \\ \langle \text{rd}, 2 \rangle \end{array} \right) = \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \quad \langle \text{wr}(1), \text{ok} \rangle \quad \langle \text{rd}, 2 \rangle \\ | \quad | \quad | \\ \langle \text{wr}(2), \text{ok} \rangle, \quad \langle \text{rd}, 2 \rangle \quad , \quad \langle \text{wr}(1), \text{ok} \rangle \\ | \quad | \quad | \\ \langle \text{rd}, 2 \rangle \quad \langle \text{wr}(2), \text{ok} \rangle \quad \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\} & \mathcal{S}_{lwwR} \left(\begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ \downarrow \\ \langle \text{rd}, 0 \rangle \end{array} \right) = \emptyset
\end{array}$$

(a) Visibility relation with admissible arbitrations (b) Non admissible arbitrations

■ **Figure 1** A register specification

45 state of a store is described instead as a total order over the events, called *arbitration*,
 46 which describes the way in which conflicting concurrent operations are resolved. Different
 47 specification approaches for RDTs are presented in the literature, all of them building on
 48 the notions of visibility and arbitration [2, 3, 4, 5, 7, 6, 9, 11, 13, 14]. A purely functional
 49 approach for the specification of RDTs has been presented in [7, 6], where an RDT is associated
 50 with a function that maps each visibility relation into a set of arbitrations.

51 Consider an RDT **Register** that represents a memory cell, whose content can be updated
 52 and read. Following the approach in [7], the RDT **Register** is specified by a function that
 53 maps visibility relations into sets of arbitrations: we call here such function \mathcal{S}_{lwwR} . Figure 1a
 54 illustrates the definition of \mathcal{S}_{lwwR} for the case in which the visibility relation involves two
 55 concurrent writes and a read. Events are depicted by pairs $\langle \text{operation}, \text{result} \rangle$ where $\text{wr}(k)$
 56 stands for an operation that writes the value k and rd stands for a read. The two writes are
 57 unrelated (i.e., they are not visible to each other), while the read operation sees both writes.
 58 The returned value of the read operation is 2, which coincides with one of the visible written
 59 values. According to Figure 1a, \mathcal{S}_{lwwR} maps such visibility graph into a set containing those
 60 arbitrations (i.e., total orders over the three events in the visibility relation) in which $\text{wr}(1)$
 61 precedes $\text{wr}(2)$. Arbitrations may not reflect the causal ordering of events; in fact, the last
 62 two arbitrations in the right-hand-side of the equation in Figure 1a place the read before
 63 the operation that writes the read value 2. We remark that arbitrations do not necessarily
 64 account for real-time orderings of events: they are instead possible ways in which events can
 65 be *logically* ordered to explain a given visibility. For instance, the excluded arbitrations in the
 66 image of \mathcal{S}_{lwwR} are the total orders in which $\text{wr}(2)$ precedes $\text{wr}(1)$, i.e., the specification bans
 67 the behaviour in which a read operation returns a value that is different from the last written
 68 one. An extreme situation is the case in which the specification maps a visibility relation into
 69 an empty set of arbitrations, which means that events cannot be logically ordered to explain
 70 such visibility. For instance, the equation in Figure 1b assigns an empty set of arbitrations
 71 to a visibility relation in which the read operation returns a value that is different from the
 72 unique visible written value (i.e., it returns 0 instead of 1). In this way, the specification
 73 bans the behaviour in which a read operation returns a value that does not match a previous
 74 written value. As originally shown [7], this style of specification can be considered (and it
 75 is actually more general than) the model for the operational description of RDTs proposed
 76 in [4]. We refer the reader to [6] for a formal comparison of the two different approaches.

77 This work develops the approach suggested in [7] for the categorical characterisation
 78 of RDT specifications. We consider the category $\mathbf{PIDag}(\mathcal{L})$ of labelled, directed acyclic
 79 graphs and injective *pr-morphisms*, i.e., label-preserving morphisms that reflect directed
 80 edges, and the category $\mathbf{SPath}(\mathcal{L})$ of sets of labelled, total orders and *ps-morphisms*, i.e.,
 81 morphisms between sets of paths. A ps-morphism $\mathbf{f} : \mathcal{X}_1 \rightarrow \mathcal{X}_2$ from a set of paths \mathcal{X}_1 to a
 82 set of paths \mathcal{X}_2 states that any total order in \mathcal{X}_2 can be obtained by extending some total
 83 order in \mathcal{X}_1 . In this work we show that a large class of specifications, dubbed *coherent*,

84 can be characterised functorially. Roughly, a coherent specification accounts for those RDTs
 85 such that the arbitrations associated with a visibility relation can be obtained by extending
 86 arbitrations associated with “smaller” visibilities: as illustrated in [6], they correspond to
 87 what are called *return value consistent* RDTs in [4]. We establish a bijection between functors
 88 and specifications, showing that a coherent specification induces a functor from $\mathbf{PIDag}(\mathcal{L})$
 89 into $\mathbf{SPath}(\mathcal{L})$ that preserves colimits and binary pullbacks and vice versa.

90 The paper has the following structure. Section 2 offers some preliminaries on categories
 91 of relations, which are used for proposing some basic results on categories of graphs and
 92 paths in Section 3. Section 4 recalls the set-theoretical presentation of RDTs introduced in [6].
 93 Section 5 introduces our semantical model, the category of set of paths, describing some of its
 94 basic properties with respect to limits and colimits. In Section 6 we present some categorical
 95 operators for RDTs, which are used in Section 7 to present our main characterisation results.
 96 The paper is closed with some final remarks, a comparison of the proposed constructions
 97 with those presented in [7], and some hints towards future work.

98 2 Preliminaries on Relations

99 **Relations.** Given a finite set E , a (binary) *relation* ρ over E is a subset $\rho \subseteq E \times E$ of the
 100 cartesian product of E with itself. We use the pair $\langle E, \rho \rangle$ to denote a relation ρ over E , in
 101 order to always have the set of events explicit, and simply \emptyset to denote the empty relation.

102 A subset $E' \subseteq E$ is *downward closed* with respect to ρ if $\forall e \in E, e' \in E'. e \rho e'$ implies $e \in E'$
 103 and, when ρ is clear, we write $\lfloor e \rfloor$ for the smallest downward closed set including $e \in E$.

► **Definition 1** ((Binary Relation) Morphisms). *A (binary relation) morphism $f : \langle E, \rho \rangle \rightarrow \langle T, \gamma \rangle$ is a function $f : E \rightarrow T$ such that*

$$\forall e, e' \in E. e \rho e' \text{ implies } f(e) \gamma f(e')$$

A morphism $f : \langle E, \rho \rangle \rightarrow \langle T, \gamma \rangle$ is past-reflecting (shortly, pr-morphism) if

$$\forall e \in E, t \in T. t \gamma f(e) \text{ implies } \exists e' \in E. e' \rho e \wedge t = f(e')$$

104 Note that both classes of morphisms are closed under composition: we denote as \mathbf{Bin} the
 105 category of relations and their morphisms and \mathbf{PBin} the sub-category of pr-morphisms.

106 ► **Lemma 2** (Characterising pr-morphisms). *Let $f : \langle E, \rho \rangle \rightarrow \langle T, \gamma \rangle$ be a morphism. If*

- 107 1. $f(e) \gamma f(e')$ implies $e \rho e'$, and
- 108 2. $\bigcup_{e \in E} \lfloor e \rfloor$ is downward closed,

109 *then it is a pr-morphism. If f is injective, then the converse holds.*

110 **Proof.** For \Rightarrow), let us take $e \in E$ and $t \in T$. If $t \gamma f(e)$, then there exists $e' \in E$ such that
 111 $t = f(e')$ because of (2). By (1), $f(e') \gamma f(e)$ implies $e' \rho e$.

For \Leftarrow), by the definition of pr-morphism $f(e) \gamma f(e')$ implies $\exists \bar{e} \in E. \bar{e} \rho e' \wedge f(e) = f(\bar{e})$.
 Since f is injective, $\bar{e} = e$ and hence $e \rho e'$. So, let $\mathcal{T} = \bigcup_{e \in E} \lfloor e \rfloor$. We want to show that

$$\forall t \in T, t' \in \mathcal{T}. t \gamma t' \text{ implies } t \in \mathcal{T}$$

The proof follows by contradiction. Assume that $\exists t \in T, t' \in \mathcal{T}. t \gamma t' \wedge t \notin \mathcal{T}$. By
 definition of \mathcal{T} , $\exists e \in E$ such that $f(e) = t'$. Since f is a pr-morphism, then

$$t \gamma f(e) \text{ implies } \exists e' \in E. e' \rho e \wedge t = f(e')$$

112 Therefore $t = f(e') \in \mathcal{T}$, which contradicts the assumption $t \notin \mathcal{T}$. ◀

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113 Clearly, **Bin** has both finite limits and finite colimits, which are computed point-wise as
114 in **Set**. The structure is largely lifted to **PBin**.

115 ► **Proposition 3** (Properties of **PBin**). *The inclusion functor $\mathbf{PBin} \rightarrow \mathbf{Bin}$ reflects finite*
116 *colimits and binary pullbacks.*

117 In other words, since **Bin** has finite limits and finite colimits, finite colimits and binary
118 pullbacks in **PBin** always exist and are computed as in **Bin**. There is e.g. no terminal
119 object, since morphisms in **Bin** into the singleton are clearly not past-reflecting.

120 Monos in **Bin** are just morphisms whose underlying function is injective, and similarly in
121 **PBin**, so that the inclusion functor preserves (and reflects) them.

122 ► **Lemma 4** (Monos under pushouts). *Pushouts in **Bin** (and thus in **PBin**) preserve monos.*

123 We now introduce labelled relations. Consider the forgetful functors $U_r : \mathbf{Bin} \rightarrow \mathbf{Set}$ and
124 $U_p : \mathbf{PBin} \rightarrow \mathbf{Set}$, the latter factoring through the inclusion functor $\mathbf{PBin} \rightarrow \mathbf{Bin}$. Given a
125 set \mathcal{L} of labels, we consider the comma categories $\mathbf{Bin}(\mathcal{L}) = U_r \downarrow \mathcal{L}$ and $\mathbf{PBin}(\mathcal{L}) = U_p \downarrow \mathcal{L}$:
126 finite colimits and binary pullbacks always exist and are essentially computed as in **Bin**.

127 Explicitly, an object in $U_r \downarrow \mathcal{L}$ is a triple (E, ρ, λ) for a labeling function $\lambda : E \rightarrow \mathcal{L}$. A
128 label-preserving morphism $(E, \rho, \lambda) \rightarrow (E', \rho', \lambda')$ is a morphism $f : (E, \rho) \rightarrow (E', \rho')$ such
129 that $\forall s \in E. \lambda(s) = \lambda'(f(s))$. Moreover, finite colimits and binary pullbacks exist and are
130 computed as in **Bin**. Similar properties hold for the objects and the morphisms of $U_p \downarrow \mathcal{L}$.

131 3 Categories of Graphs and Paths

132 We now move to introduce specific sub-categories that are going to be used for both the
133 syntax and the semantics of specifications.

134 ► **Definition 5** (**PDag**). ***PDag** is the full sub-category of **PBin** whose objects are directed*
135 *acyclic graphs.*

136 In other terms, objects are relations whose transitive closures are *strict* partial orders.

137 ► **Remark 6**. The full sub-category of **Bin** whose objects are directed acyclic graphs is not
138 suited for our purposes, since e.g. it does not admit pushouts, not even along monos. The
139 one with pr-morphisms is much more so, still remaining computationally simple.

140 ► **Proposition 7** (Properties of **PDag**). *The inclusion functor $\mathbf{PDag} \rightarrow \mathbf{PBin}$ reflects finite*
141 *colimits and binary pullbacks.*

142 We now move to consider *paths*, i.e., relations that are total orders.

143 ► **Definition 8** (**Path**). ***Path** is the full sub-category of **Bin** whose objects are paths.*

144 Note that defining **Path** as only containing pr-morphisms would be too restrictive, since
145 there exists a pr-morphism between two paths if and only if one path is a prefix of the other.

146 ► **Proposition 9** (Properties of **Path**). *The inclusion functor $\mathbf{Path} \rightarrow \mathbf{Bin}$ reflects finite*
147 *colimits.*

148 As for relations, we consider suitable comma categories in order to capture labelled paths
149 and graphs. In particular, we use the forgetful functors $U_{rp} : \mathbf{Path} \rightarrow \mathbf{Set}$ and $U_{pd} : \mathbf{PDag} \rightarrow$
150 \mathbf{Set} : for a set of labels \mathcal{L} we denote $\mathbf{PDag}(\mathcal{L}) = U_{rp} \downarrow \mathcal{L}$ and $\mathbf{Path}(\mathcal{L}) = U_{pd} \downarrow \mathcal{L}$. Once more,
151 finite colimits and binary pullbacks always exist and are essentially computed as in **Bin**.

4 Replicated Data Type Specification

We briefly recall the set-theoretical model of replicated data types (RDT) introduced in [6]. Our main result is its categorical characterisation, which is given in the following sections.

First, some notation. We denote a graph as the triple $\langle \mathcal{E}, \prec, \lambda \rangle$ and a path as the triple $\langle \mathcal{E}, \leq, \lambda \rangle$, in order to distinguish them. Moreover, given a graph $\mathbf{G} = \langle \mathcal{E}, \prec, \lambda \rangle$ and a subset $\mathcal{E}' \subseteq \mathcal{E}$, we denote by $\mathbf{G}|_{\mathcal{E}'}$, the obvious restriction (and the same for a path \mathbf{P}).

We now define a product operation on a set of paths $\mathcal{X} = \{\langle \mathcal{E}_i, \leq_i, \lambda_i \rangle\}_i$. First, we say that the paths of a set \mathcal{X} are *compatible* if $\forall e, i, j. e \in \mathcal{E}_i \cap \mathcal{E}_j$ implies $\lambda_i(e) = \lambda_j(e)$.

► **Definition 10** (Product). *Let \mathcal{X} be a set of compatible paths. The product of \mathcal{X} is*

$$\bigotimes \mathcal{X} = \{ \mathbf{P} \mid \mathbf{P} \text{ is a path over } \bigcup_i \mathcal{E}_i \text{ and } \mathbf{P}|_{\mathcal{E}_i} \in \mathcal{X} \}$$

Intuitively, the product of paths is analogous to the synchronous product of transition systems, in which common elements are identified and the remaining ones can be freely interleaved, as long as the original orders are respected. A set of sets of paths $\mathcal{X}_1, \mathcal{X}_2, \dots$ is compatible if $\bigcup_i \mathcal{X}_i$ is so. In such case we can define the product $\bigotimes_i \mathcal{X}_i$ as $\bigotimes \bigcup_i \mathcal{X}_i$.

Now, let us further denote with $\mathbb{G}(\mathcal{L})$ and $\mathbb{P}(\mathcal{L})$ the sets of (finite) graphs and (finite) paths, respectively, labelled over \mathcal{L} and with ϵ the empty graph. Also, when the set of labels \mathcal{L} is chosen, we let $\mathbb{G}(\mathcal{E}, \lambda)$ and $\mathbb{P}(\mathcal{E}, \lambda)$ the sets of graphs and paths, respectively, whose elements are those in \mathcal{E} and are labelled by $\lambda : \mathcal{E} \rightarrow \mathcal{L}$.

► **Definition 11** (Specifications). *A specification \mathcal{S} is a function $\mathcal{S} : \mathbb{G}(\mathcal{L}) \rightarrow 2^{\mathbb{P}(\mathcal{L})}$ such that $\mathcal{S}(\epsilon) = \{\epsilon\}$ and $\forall \mathbf{G}. \mathcal{S}(\mathbf{G}) \in 2^{\mathbb{P}(\mathcal{E}_{\mathbf{G}}, \lambda_{\mathbf{G}})}$.*

In other words, a specification \mathcal{S} maps a graph (interpreted in terms of the visibility relation of a RDT) to a set of paths (that is, the admissible arbitrations of the RDT). Indeed, note that $\mathbf{P} \in \mathcal{S}(\mathbf{G})$ is a path over $\mathcal{E}_{\mathbf{G}}$, hence a total order of the events in \mathbf{G} .

As shown in [6], Definition 11 offers an alternative characterisation of RDTs [4] for a suitable choice of the set of labels. In particular, an RDT boils down to a specification labelled over pairs $\langle \text{operation}, \text{value} \rangle$ that is *saturated* and *past-coherent*. The former property is a technical one: roughly, if \mathbf{G}' is an extension of \mathbf{G} with a fresh event e , then the admissible arbitrations that a saturated specification \mathcal{S} assigns to \mathbf{G}' (i.e., the set of paths $\mathcal{S}(\mathbf{G}')$) are included in the admissible arbitrations of \mathbf{G} saturated with respect to e , i.e., all the paths that extends a path in $\mathcal{S}(\mathbf{G})$ with e inserted at an arbitrary position. Coherence instead is fundamental and expresses that admissible arbitrations of a visibility graph can be obtained by composing the admissible arbitrations of smaller visibilities.

► **Definition 12** ((Past-)Coherent Specification). *Let \mathcal{S} be a specification. We say that \mathcal{S} is past-coherent (briefly, coherent) if*

$$\forall \mathbf{G} \neq \epsilon. \mathcal{S}(\mathbf{G}) = \bigotimes_{e \in \mathcal{E}_{\mathbf{G}}} \mathcal{S}(\mathbf{G}|_{[e]})$$

Explicitly, in a coherent specification \mathcal{S} the arbitrations of a configuration \mathbf{G} (i.e., the set of paths $\mathcal{S}(\mathbf{G})$) are the composition of the arbitrations associated with its sub-graphs $\mathbf{G}|_{[e]}$.

Next example illustrates a coherent specification for the `Register` RDT.

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190 ► **Example 13** (Register). Fix the set of labels $\mathcal{L} = \{\langle \text{wr}(k), \text{ok} \rangle, \langle \text{rd}, k \rangle \mid k \in \mathbb{N}\} \cup \{\langle \text{rd}, \perp \rangle\}$.
 191 Then, the specification of the RDT **Register** is given by the function \mathcal{S}_{lwwR} defined as

$$192 \quad P \in \mathcal{S}_{lwwR}(\mathbf{G}) \text{ iff } \forall e \in \mathcal{E}_{\mathbf{G}}. \begin{cases} \lambda(e) = \langle \text{rd}, \perp \rangle \text{ implies } \forall e' \prec_{\mathbf{G}} e, k. \lambda(e') \neq \langle \text{wr}(k), \text{ok} \rangle \\ \forall k. \lambda(e) = \langle \text{rd}, k \rangle \text{ implies } \exists e' \prec_{\mathbf{G}} e. \lambda(e') = \langle \text{wr}(k), \text{ok} \rangle \text{ and} \\ \forall e'' \prec_{\mathbf{G}} e, k' \neq k. e' \prec_P e'' \text{ implies } \lambda(e'') \neq \langle \text{wr}(k'), \text{ok} \rangle \end{cases}$$

193 Intuitively, a visibility graph \mathbf{G} is mapped to a non-empty set of arbitrations (i.e.,
 194 $\mathcal{S}_{lwwR}(\mathbf{G}) \neq \emptyset$) only when each event e in \mathbf{G} associated with a read operation has a re-
 195 turn value k that matches the value written by the greatest event e' (according to \prec_P). The
 196 result of a read is undefined (i.e., \perp) when it does not see any write (first condition).

197 5 The model category

198 In order to provide a categorical characterisation of coherent specifications, we must first
 199 define precisely the model category. So far, we know that its objects have to be sets of
 200 compatible paths. We fix a set of labels \mathcal{L} , and we first look at a free construction for paths,
 201 and then we turn our attention to morphisms.

202 5.1 Saturation

► **Definition 14** (Path saturation). Let P be a path and $f : (\mathcal{E}_P, \lambda_P) \rightarrow (\mathcal{E}, \lambda)$ a function
 preserving labels. The saturation of P along f is defined as

$$\text{sat}(P, f) = \{Q \mid Q \in \mathbb{P}(\mathcal{E}, \lambda) \text{ and } f \text{ induces a morphism } f : P \rightarrow Q\}$$

203 Saturation is generalised to sets of paths $\mathcal{X} \subseteq \mathbb{P}(\mathcal{E}, \lambda)$ as $\bigcup_{P \in \mathcal{X}} \text{sat}(P, f)$.

204 Note that, should f not be injective, it could be that $\text{sat}(P, f) = \emptyset$.

205 ► **Example 15.** Consider the injective, label-preserving function f from $\{\langle \text{wr}(1), \text{ok} \rangle, \langle \text{wr}(2), \text{ok} \rangle\}$
 206 to $\{\langle \text{wr}(1), \text{ok} \rangle, \langle \text{wr}(2), \text{ok} \rangle, \langle \text{rd}, 2 \rangle\}$. Then, we have

$$207 \quad \text{sat} \left(\left(\begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \end{array} \right), f \right) = \left\{ \begin{array}{ccc} \langle \text{wr}(1), \text{ok} \rangle & \langle \text{wr}(1), \text{ok} \rangle & \langle \text{rd}, 2 \rangle \\ | & | & | \\ \langle \text{wr}(2), \text{ok} \rangle, & \langle \text{rd}, 2 \rangle & \langle \text{wr}(1), \text{ok} \rangle \\ | & | & | \\ \langle \text{rd}, 2 \rangle & \langle \text{wr}(2), \text{ok} \rangle & \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\}$$

208 Intuitively, saturation adds $\langle \text{rd}, 2 \rangle$ – and in general events not in the image of f – to the
 209 original path in all possible ways, preserving the order of original events.

210 ► **Definition 16** (Path retraction). Let Q be a path and $f : \mathcal{E} \rightarrow \mathcal{E}_Q$ a function. The retraction
 211 of Q along f is defined as

$$212 \quad \text{ret}(Q, f) = \{P \mid P \in \mathbb{P}(\mathcal{E}, \lambda) \text{ and } f \text{ induces a morphism } f : P \rightarrow Q\}$$

213 The notion of retraction is extended to sets of paths $\mathcal{X} \subseteq \mathbb{P}(\mathcal{E}, \lambda)$ as $\bigcup_{Q \in \mathcal{X}} \text{ret}(Q, f)$.

214 Note that λ is fully characterised as the restriction of λ_Q along the mapping. Should f be
 215 injective, $\text{ret}(Q, f)$ would be a singleton, and if f is an inclusion, then $\text{ret}(Q, f) = Q|_{\mathcal{E}}$.

216 We may now start considering the relationship between the two notions.

217 ► **Lemma 17.** Let $\mathcal{X}_1 \subseteq \mathbb{P}(\mathcal{E}_1, \lambda_1)$ be a set of paths and $f : (\mathcal{E}_1, \lambda_1) \rightarrow (\mathcal{E}_2, \lambda_2)$ a function
 218 preserving labels. Then $\mathcal{X}_1 \subseteq \text{ret}(\text{sat}(\mathcal{X}_1, f), f)$. If f is injective, then the equality holds.

219 ► **Lemma 18.** *Let $\mathcal{X}_2 \subseteq \mathbb{P}(\mathcal{E}_2, \lambda_2)$ be a set of paths and $\mathbf{f} : \mathcal{E}_1 \rightarrow \mathcal{E}_2$ a function. Then*
 220 $\mathcal{X}_2 \subseteq \text{sat}(\text{ret}(\mathcal{X}_2, \mathbf{f}), \mathbf{f})$.

221 We say that an injective function \mathbf{f} is *saturated* with respect to \mathcal{X}_2 if the equality holds.

222 ► **Example 19.** Consider the set of paths \mathcal{X}_1 and \mathcal{X}_2 and the pr-morphism \mathbf{f} below

$$223 \quad \mathcal{X}_1 = \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\} \quad \mathcal{X}_2 = \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \\ | \\ \langle \text{rd}, 2 \rangle \end{array} \right\} \quad \mathbf{f} : \begin{array}{ccc} \langle \text{wr}(1), \text{ok} \rangle & & \langle \text{wr}(1), \text{ok} \rangle \\ | & & | \\ \langle \text{wr}(2), \text{ok} \rangle & \rightarrow & \langle \text{wr}(2), \text{ok} \rangle \\ & & | \\ & & \langle \text{rd}, 2 \rangle \end{array}$$

224 the underlying function \mathbf{f} (defined in Example 15) is *not* saturated with respect to \mathcal{X}_2 because

$$225 \quad \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \\ | \\ \langle \text{rd}, 2 \rangle \end{array} \right\} \neq \text{sat}\left(\text{ret}\left(\left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \\ | \\ \langle \text{rd}, 2 \rangle \end{array} \right\}, \mathbf{f}\right), \mathbf{f}\right) = \text{sat}\left(\left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\}, \mathbf{f}\right)$$

226 In fact, the ps-morphism $\mathbf{f} : \mathcal{X}_1 \rightarrow \mathcal{X}_2$ only adds the new event $\langle \text{rd}, 2 \rangle$ on top of the path in
 227 \mathcal{X}_1 , thus making it a *topological* ps-morphism (see Section 7.3 later on).

228 5.2 From saturation to categories

229 We can exploit saturation to get a simple definition of our model category.

230 ► **Definition 20** (ps-morphism). *Let $\mathcal{X}_1 \subseteq \mathbb{P}(\mathcal{E}_1, \lambda_1)$ and $\mathcal{X}_2 \subseteq \mathbb{P}(\mathcal{E}_2, \lambda_2)$ be sets of paths. A*
 231 *path-set morphism (shortly, ps-morphism) $\mathbf{f} : \mathcal{X}_1 \rightarrow \mathcal{X}_2$ is a function $\mathbf{f} : (\mathcal{E}_1, \lambda_1) \rightarrow (\mathcal{E}_2, \lambda_2)$*
 232 *preserving labels such that $\mathcal{X}_2 \subseteq \text{sat}(\mathcal{X}_1, \mathbf{f})$.*

233 Intuitively, there is a ps-morphism from the set of paths \mathcal{X}_1 to the set of paths \mathcal{X}_2 if any
 234 path in \mathcal{X}_2 can be obtained by adding events to some path in \mathcal{X}_1 . This notion captures the
 235 idea that arbitrations of larger visibilities are obtained as extensions of smaller visibilities.

236 ► **Example 21.** Consider the following three sets and the function \mathbf{f} from Example 15

$$237 \quad \mathcal{X}_1 = \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\} \quad \mathcal{X}_2 = \left\{ \begin{array}{cc} \langle \text{wr}(1), \text{ok} \rangle & \langle \text{wr}(1), \text{ok} \rangle \\ | & | \\ \langle \text{wr}(2), \text{ok} \rangle, & \langle \text{rd}, 2 \rangle \\ | & | \\ \langle \text{rd}, 2 \rangle & \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\} \quad \mathcal{X}_3 = \left\{ \begin{array}{cc} \langle \text{wr}(1), \text{ok} \rangle & \langle \text{wr}(2), \text{ok} \rangle \\ | & | \\ \langle \text{wr}(2), \text{ok} \rangle, & \langle \text{rd}, 2 \rangle \\ | & | \\ \langle \text{rd}, 2 \rangle & \langle \text{wr}(1), \text{ok} \rangle \end{array} \right\}$$

238 Now, \mathbf{f} induces a ps-morphism $\mathbf{f} : \mathcal{X}_1 \rightarrow \mathcal{X}_2$ because $\mathcal{X}_2 \subseteq \text{sat}(\mathcal{X}_1, \mathbf{f})$ (the latter is shown in
 239 Example 15). On the contrary, there is no ps-morphism from \mathcal{X}_1 to \mathcal{X}_3 : the rightmost path
 240 of \mathcal{X}_3 cannot be obtained by extending a path of \mathcal{X}_1 with an event labelled by $\langle \text{rd}, 2 \rangle$.

241 ► **Definition 22** (Sets of Paths Category). *We define $\mathbf{SPath}(\mathcal{L})$ as the category whose objects*
 242 *are sets of paths labelled over \mathcal{L} and arrows are ps-morphisms.*

243 ► **Proposition 23** (Properties of \mathbf{SPath}). *The category $\mathbf{SPath}(\mathcal{L})$ has finite colimits along*
 244 *monos and binary pullbacks.*

245 **Proof.** (Strict) initial object. The (unique) initial object is $\langle \emptyset, \{\epsilon\}, \emptyset \rangle$, with $\epsilon \in \mathbb{P}(\emptyset, \emptyset)$ the
 246 empty path. Let $\mathcal{X} \subseteq \mathbb{P}(\mathcal{E}, \lambda)$ and $! : \emptyset \rightarrow \mathcal{E}$ the unique function. We have a function
 247 $! : (\emptyset, \emptyset) \rightarrow (\mathcal{E}, \lambda)$ such that $\mathcal{X} \subseteq \text{sat}(\{\epsilon\}, !) = \mathbb{P}(\mathcal{E}, \lambda)$.

248 *Binary Pushouts.* Let $\mathcal{X}, \mathcal{X}_1$, and \mathcal{X}_2 be sets of paths and $\mathbf{f}_i : \mathcal{X} \rightarrow \mathcal{X}_i$ ps-morphisms.
 249 Consider the underlying functions $\mathbf{f}_i : \mathcal{E} \rightarrow \mathcal{E}_i$ and their pushout $\mathbf{f}'_i : \mathcal{E}_i \rightarrow \mathcal{E}_1 +_{\mathcal{E}} \mathcal{E}_2$ in the
 250 category of sets: it induces a pushout $\mathbf{f}'_i : \mathcal{X}_i \rightarrow \text{sat}(\mathcal{X}_1, \mathbf{f}'_1) \cap \text{sat}(\mathcal{X}_2, \mathbf{f}'_2)$ in $\mathbf{SPath}(\mathcal{L})$.

251 *Binary Pullbacks.* Let $\mathcal{X}, \mathcal{X}_1$, and \mathcal{X}_2 be sets of paths and $\mathbf{f}_i : \mathcal{X}_i \rightarrow \mathcal{X}$ ps-morphisms.
 252 Consider the underlying functions $\mathbf{f}_i : \mathcal{E}_i \rightarrow \mathcal{E}$ and their pullback $\mathbf{f}'_i : \mathcal{E}_1 \times_{\mathcal{E}} \mathcal{E}_2 \rightarrow \mathcal{E}$ in the
 253 category of sets: it induces a pullback $\mathbf{f}'_i : \text{ret}(\mathcal{X}_1, \mathbf{f}'_1) \cup \text{ret}(\mathcal{X}_2, \mathbf{f}'_2) \rightarrow \mathcal{X}_i$ in $\mathbf{SPath}(\mathcal{L})$.
 254 \blacktriangleleft

255 The above characterisation of pushouts is enabled by the fact that we considered injective
 256 functions. To help intuition, we now instantiate that characterisation to suitable inclusions.

257 **► Lemma 24.** *Let $\mathbf{f}_i : \mathcal{X} \rightarrow \mathcal{X}_i$ be ps-morphisms such that the underlying functions $\mathbf{f}_i : \mathcal{E} \rightarrow \mathcal{E}_i$
 258 are inclusions and $\mathcal{E} = \mathcal{E}_1 \cap \mathcal{E}_2$. Then their pushout is given by $\mathbf{f}'_i : \mathcal{X}_i \rightarrow \mathcal{X}_1 \otimes \mathcal{X}_2$.*

259 **Proof.** By definition $\mathcal{X}_1 \otimes \mathcal{X}_2 = \{\mathbf{P} \mid \mathbf{P} \text{ is a path over } \bigcup_i \mathcal{E}_i \text{ and } \mathbf{P}|_{\mathcal{E}_i} \in \mathcal{X}_i\}$. Note also that
 260 $\text{sat}(\mathcal{X}_i, \mathbf{f}'_i) = \bigcup_{\mathbf{Q} \in \mathcal{X}_i} \{\mathbf{P} \mid \mathbf{P} \in \mathbb{P}(\bigcup_i \mathcal{E}_i, \bigcup_i \lambda_i) \text{ and } \mathbf{f}'_i \text{ induces a path morphism } \mathbf{f}'_i : \mathbf{P} \rightarrow \mathbf{Q}\}$.
 261 Since \mathbf{f}'_i is an inclusion, the latter condition equals to $\mathbf{P}|_{\mathcal{E}_i} = \mathbf{Q}$, thus the property holds. \blacktriangleleft

262 **► Example 25.** Consider the following ps-morphisms

$$263 \quad \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \\ | \\ \langle \text{rd}, 2 \rangle \end{array} \right\} \leftarrow \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \end{array} \right\} \rightarrow \left\{ \begin{array}{cc} \langle \text{wr}(1), \text{ok} \rangle & \langle \text{wr}(2), \text{ok} \rangle \\ | & | \\ \langle \text{wr}(2), \text{ok} \rangle, & \langle \text{wr}(1), \text{ok} \rangle \\ | & | \\ \langle \text{rd}, 1 \rangle & \langle \text{rd}, 1 \rangle \end{array} \right\}$$

264 then, the pushout is given by the following ps-morphisms

$$265 \quad \left\{ \begin{array}{c} \langle \text{wr}(1), \text{ok} \rangle \\ | \\ \langle \text{wr}(2), \text{ok} \rangle \\ | \\ \langle \text{rd}, 2 \rangle \end{array} \right\} \rightarrow \left\{ \begin{array}{cc} \langle \text{wr}(1), \text{ok} \rangle & \langle \text{wr}(1), \text{ok} \rangle \\ | & | \\ \langle \text{wr}(2), \text{ok} \rangle & \langle \text{wr}(2), \text{ok} \rangle \\ | & | \\ \langle \text{rd}, 1 \rangle & \langle \text{rd}, 2 \rangle \\ | & | \\ \langle \text{rd}, 2 \rangle & \langle \text{rd}, 1 \rangle \end{array} \right\} \leftarrow \left\{ \begin{array}{cc} \langle \text{wr}(1), \text{ok} \rangle & \langle \text{wr}(2), \text{ok} \rangle \\ | & | \\ \langle \text{wr}(2), \text{ok} \rangle, & \langle \text{wr}(1), \text{ok} \rangle \\ | & | \\ \langle \text{rd}, 1 \rangle & \langle \text{rd}, 1 \rangle \end{array} \right\}$$

266 An analogous property holds for pullbacks. Let $\mathbf{f}_i : \mathcal{X}_i \rightarrow \mathcal{X}$ be ps-morphisms such that
 267 the underlying functions are inclusions: the pullback is given as $\mathbf{f}'_i : \bigcup_i \mathcal{X}_i|_{\mathcal{E}_1 \cap \mathcal{E}_2} \rightarrow \mathcal{X}_i$. In
 268 particular, the square below is both a pullback and a pushout.

$$269 \quad \begin{array}{ccc} \bigcup_i \mathcal{X}_i|_{\mathcal{E}_1 \cap \mathcal{E}_2} & \longleftarrow & \mathcal{X}_1 \\ \downarrow & & \downarrow \\ \mathcal{X}_2 & \longleftarrow & \mathcal{X}_1 \otimes \mathcal{X}_2 \end{array}$$

270 6 Structure and Operators for Visibility

271 We now study the category of visibility relations. We first introduce an operation that will
 272 be handy for our categorical characterisation. We say that a graph \mathbf{G} is *rooted* if there exists
 273 a (necessarily unique) event $e \in \mathcal{E}_{\mathbf{G}}$ such that $\mathbf{G} = \mathbf{G}|_{[e]}$.

274 **► Definition 26 (Extension).** *Let $\mathbf{G} = \langle \mathcal{E}, \prec, \lambda \rangle$ and $\mathcal{E}' \subseteq \mathcal{E}$. We define the extension of \mathbf{G}
 275 over \mathcal{E}' with ℓ as the graph $\mathbf{G}_{\mathcal{E}'}^{\ell} = \langle \mathcal{E}_{\top}, \prec \cup (\mathcal{E}' \times \{\top\}), \lambda[\top \mapsto \ell] \rangle$.*

276 Here, \mathcal{E}_{\top} denotes the extension of the set \mathcal{E} with a new event \top , labelled by $\lambda[\top \mapsto \ell]$ into
 277 ℓ . Intuitively, $\mathbf{G}_{\mathcal{E}'}^{\ell}$ is obtained by adding to the visibility relation \mathbf{G} a new event “seeing” some
 278 events in \mathcal{E}' . We call the inclusion $\mathbf{G} \rightarrow \mathbf{G}_{\mathcal{E}'}^{\ell}$ an *extension morphism*. Should $\mathbf{G}_{\mathcal{E}'}^{\ell}$ be rooted,
 279 we call it a *root extension* of \mathbf{G} , and the associated inclusion a *root extension morphism*.

280 ► **Proposition 27.** *Rooted graphs form a family of separators of $\mathbf{PDag}(\mathcal{L})$.*

281 **Proof.** We need to show that for any pair of pr-morphisms $f_1, f_2 : G_1 \rightarrow G_2$ such that $f_1 \neq f_2$
 282 there is a rooted graph G and a morphism $f : G \rightarrow G_1$ such that $f; f_1 \neq f; f_2$. Given $e \in \mathcal{E}_{G_1}$
 283 such that $f_1(e) \neq f_2(e)$, it suffices to consider the pr-morphism $f : G_1|_{[e]} \rightarrow G_1$. ◀

284 We now further curb the arrows in $\mathbf{PDag}(\mathcal{L})$ to *monic* ones. Intuitively, we are only
 285 interested in what happens if we add further events to visibility relations. We thus consider
 286 the sub-category $\mathbf{PIDag}(\mathcal{L})$ of direct acyclic graphs and monic pr-morphisms. Note that the
 287 chosen morphism f in the proof of Proposition 27 is mono, since morphisms in $\mathbf{PDag}(\mathcal{L})$
 288 are monic if and only if the underlying function is injective. We can then show that rooted
 289 graphs are also a family of generators for the sub-category $\mathbf{PIDag}(\mathcal{L})$.

290 We first need a technical lemma.

291 ► **Lemma 28** (Monos under pushouts, 2). *Pushouts in $\mathbf{PDag}(\mathcal{L})$ preserve monos.*

292 We can then state an important characterisation of $\mathbf{PIDag}(\mathcal{L})$.

293 ► **Proposition 29.** *$\mathbf{PIDag}(\mathcal{L})$ is the smallest sub-category of $\mathbf{PDag}(\mathcal{L})$ containing all root
 294 extension morphisms and closed under finite colimits.*

295 **Proof.** First, note that, since pushouts in $\mathbf{PDag}(\mathcal{L})$ preserve monos, the smallest sub-
 296 category of $\mathbf{PDag}(\mathcal{L})$ containing all root extensions and closed under finite colimits is surely
 297 a sub-category also of $\mathbf{PIDag}(\mathcal{L})$. So, given a monic pr-morphism $f : G_1 \rightarrow G_2$, we need to
 298 prove that it can be generated from root extension morphisms via colimits. We proceed by
 299 induction on the cardinality of \mathcal{E}_{G_2} .

300 If the cardinality is 0, then f must be the identity of the empty graph. Otherwise,
 301 consider G_2 and assume that it is rooted with root e . Now, if $e \in \text{img}(f)$, since the image of
 302 a pr-morphism is downward closed, it turns out that f is the identity of G_2 . If it is not in the
 303 image, then f can be decomposed as $G_1 \rightarrow (G_2 \setminus e) \rightarrow G_2$: the left-most is given by induction,
 304 while the right-most is a root extension morphism. Without loss of generality, let us assume
 305 that G_2 has two distinct roots, namely e_1 and e_2 , and that the image of f is contained in
 306 $G_2|_{[e_1]}$. Now, f can be decomposed as $G_1 \rightarrow G_2|_{[e_1]} \rightarrow G_2$: the left-most is given by induction,
 307 while the right-most is obtained via the pushout of the span $G_2|_{[e_1]} \cap G_2|_{[e_2]} \rightarrow G_2|_{[e_1]}$. ◀

308 7 A categorical correspondence

309 It is now the time for moving towards our categorical characterisation of specifications.
 310 In this section we will show that coherent specifications induce functors preserving the
 311 relevant categorical structure (soundness) and, conversely, that a certain class of functors
 312 (basically, those preserving finite colimits and binary pullbacks) induce coherent specifications
 313 (completeness). Finally, we will prove that these functions between functors and specifications
 314 are mutually inverse, establishing a one-to-one correspondence (up-to isomorphism).

315 We first provide a simple technical result for coherent specifications.

316 ► **Lemma 30.** *Let \mathcal{S} be a coherent specification and $\mathcal{E} \subseteq \mathcal{E}_G$. If \mathcal{E} is downward closed, then
 317 $\mathcal{S}(G)|_{\mathcal{E}} \subseteq \mathcal{S}(G|_{\mathcal{E}})$.*

318 **Proof.** Let \mathcal{E} be downward closed, and note that this amounts to requiring $\mathcal{E} = \bigcup_{e \in \mathcal{E}} [e]$,
 319 hence for all $e \in \mathcal{E}$ we have that $(G|_{\mathcal{E}})|_{[e]} = G|_{[e]}$. By the latter and by coherence we have
 320 $\mathcal{S}(G)|_{\mathcal{E}} = (\bigotimes_{e \in \mathcal{E}_G} \mathcal{S}(G|_{[e]}))|_{\mathcal{E}}$ and $\mathcal{S}(G|_{\mathcal{E}}) = \bigotimes_{e \in \mathcal{E}} \mathcal{S}(G|_{[e]})$. Note that $(\bigotimes_{e \in \mathcal{E}_G} \mathcal{S}(G|_{[e]}))|_{\mathcal{E}} \subseteq$
 321 $\bigotimes_{e \in \mathcal{E}} \mathcal{S}(G|_{[e]})$ because a path in the former can always be restricted to a suitable path with
 322 fewer events on the latter (the converse in general does not hold). ◀

323 **7.1 Soundness**

324 The notion of specification introduced in Definition 11 is oblivious to the existence of
 325 morphisms between graphs. In the following we impose a minimal consistency requirement,
 326 i.e., that a specification maps isomorphic graphs to isomorphic sets of paths, along the same
 327 isomorphism on events. That is, if there exists an isomorphism in **PDag** from \mathbf{G}_1 to \mathbf{G}_2 with
 328 underlying bijection $\mathbf{f} : \mathcal{E}_{\mathbf{G}_1} \rightarrow \mathcal{E}_{\mathbf{G}_2}$, then for all specifications \mathcal{S} there is an isomorphism in
 329 **SPath**(\mathcal{L}) from $\mathcal{S}(\mathbf{G}_1)$ to $\mathcal{S}(\mathbf{G}_2)$ with the same underlying function.

330 ► **Proposition 31** (functors induced by specifications). *A coherent specification \mathcal{S} induces a*
 331 *functor $\mathbb{M}(\mathcal{S}) : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$.*

332 **Proof.** For \mathbf{G} we define $\mathbb{M}(\mathcal{S})(\mathbf{G})$ as $\mathcal{S}(\mathbf{G})$ and for $\mathbf{f} : \mathbf{G} \rightarrow \mathbf{G}'$ we define $\mathbb{M}(\mathcal{S})(\mathbf{f})$ as the ps-
 333 morphism with underlying injective function $\mathbf{f} : (\mathcal{E}_{\mathbf{G}}, \lambda_{\mathbf{G}}) \hookrightarrow (\mathcal{E}_{\mathbf{G}'}, \lambda_{\mathbf{G}'})$. The proof boils down
 334 to showing that \mathbf{f} really is a ps-morphism from $\mathcal{S}(\mathbf{G})$ into $\mathcal{S}(\mathbf{G}')$, i.e., $\mathcal{S}(\mathbf{G}') \subseteq \text{sat}(\mathcal{S}(\mathbf{G}), \mathbf{f})$
 335 and, since we are considering specifications preserving isomorphisms, we can restrict our
 336 attention to the case where \mathbf{f} is an inclusion.

337 Since \mathbf{f} is a pr-morphism, $\bigcup_{e \in \mathcal{E}_{\mathbf{G}}} \mathbf{f}(e)$ is downward-closed in \mathbf{G}' and thus by Lemma 30
 338 we have $\mathcal{S}(\mathbf{G}')|_{\mathcal{E}_{\mathbf{G}}} \subseteq \mathcal{S}(\mathbf{G}'|_{\mathcal{E}_{\mathbf{G}}}) = \mathcal{S}(\mathbf{G})$, the latter equality given by coherence. Now, consider
 339 a path $P \in \mathcal{S}(\mathbf{G}')$. Since $P|_{\mathcal{E}_{\mathbf{G}}} \in \mathcal{S}(\mathbf{G})$, we have $P \in \text{sat}(\mathcal{S}(\mathbf{G}), \mathbf{f})$, because saturation adds
 340 missing events – namely those in $\mathcal{E}_{\mathbf{G}'} \setminus \mathcal{E}_{\mathbf{G}}$ – to $P|_{\mathcal{E}_{\mathbf{G}}}$ in all possible ways. Therefore we can
 341 conclude $\mathcal{S}(\mathbf{G}') \subseteq \text{sat}(\mathcal{S}(\mathbf{G}), \mathbf{f})$. ◀

342 It is a well-known fact that the category of sets and injective functions lacks pushouts.
 343 The same also holds for **PIDag**(\mathcal{L}). However, recall now that pushouts in **PDag**(\mathcal{L}) preserve
 344 monos (Lemma 28). Thus in the following we say that a functor $\mathbb{F} : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$
 345 weakly preserves finite pushouts (and in fact, finite colimits) if any commuting square in
 346 **PIDag**(\mathcal{L}) that is a pushout (via the inclusion functor) in **PDag**(\mathcal{L}) is mapped by \mathbb{F} to a
 347 pushout in **SPath**(\mathcal{L}).

348 ► **Theorem 32.** *Let \mathcal{S} be a coherent specification. The induced functor $\mathbb{M}(\mathcal{S}) : \mathbf{PIDag}(\mathcal{L}) \rightarrow$*
 349 ***SPath**(\mathcal{L}) weakly preserves finite colimits and preserves binary pullbacks.*

350 **Proof.** The initial object is easy, since it holds by construction. As for pushouts and pullbacks:
 351 since \mathcal{S} is coherent, it boils down to Lemma 24. ◀

352 **7.2 Completeness**

353 It is now time for moving to the completeness results of our work, showing (a few alternatives
 354 on) how to obtain a specification from a functor.

355 ► **Theorem 33.** *Let $\mathbb{F} : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$ be a functor such that $\mathbb{F}(\mathbf{G}) \subseteq \mathbb{P}(\mathcal{E}_{\mathbf{G}}, \lambda_{\mathbf{G}})$.*
 356 *If \mathbb{F} weakly preserves finite colimits and preserves binary pullbacks, it induces a coherent*
 357 *specification $\mathbb{S}(\mathbb{F})$.*

358 **Proof.** Let $\mathbb{S}(\mathbb{F})(\mathbf{G}) = \mathbb{F}(\mathbf{G})$. We shall show that $\mathbb{F}(\mathbf{G})$ is coherent. Consider the following
 359 pushout in **PDag**(\mathcal{L})

$$\begin{array}{ccc}
 \mathbf{G}|_{[e_1] \cap [e_2]} & \hookrightarrow & \mathbf{G}|_{[e_2]} \\
 \downarrow & & \downarrow \\
 \mathbf{G}|_{[e_1]} & \hookrightarrow & \mathbf{G}|_{[e_1] \cup [e_2]}
 \end{array} \tag{7.1}$$

360
361

362 Since \mathbb{F} preserves pullbacks, thus monos, and weakly preserves pushouts, this diagram is
 363 mapped by \mathbb{F} to the following pushout in $\mathbf{SPath}(\mathcal{L})$

$$\begin{array}{ccc}
 \mathbb{F}(\mathbf{G}|_{[e_1] \cap [e_2]}) & \hookrightarrow & \mathbb{F}(\mathbf{G}|_{[e_2]}) \\
 \downarrow & & \downarrow \\
 \mathbb{F}(\mathbf{G}|_{[e_1]}) & \hookrightarrow & \mathbb{F}(\mathbf{G}|_{[e_1] \cup [e_2]})
 \end{array} \tag{7.2}$$

366 where all underlying functions between events are inclusions. By Lemma 24 we have that

$$367 \quad \mathbb{F}(\mathbf{G}|_{[e_1] \cup [e_2]}) \simeq \mathbb{F}(\mathbf{G}|_{[e_1]}) \otimes \mathbb{F}(\mathbf{G}|_{[e_2]})$$

368 Since clearly $\mathbf{G} = \mathbf{G}|_{\bigcup_{e \in \mathcal{E}_G} [e]}$, by associativity of pushouts we obtain coherence

$$369 \quad \mathbb{F}(\mathbf{G}) \simeq \bigotimes_{e \in \mathcal{E}_G} \mathbb{F}(\mathbf{G}|_{[e]})$$

370 Isomorphism preservation follows from \mathbb{F} being a functor. ◀

371 Combined with Theorem 32, the result above intuitively tells us that the coherence
 372 of a specification roughly corresponds to the weak preservation of colimits. However, the
 373 set-theoretical requirement $\mathbb{F}(\mathbf{G}) \subseteq \mathbb{P}(\mathcal{E}_G, \lambda_G)$ is still unsatisfactory, yet apparently unavoidable,
 374 because a generic \mathbb{F} could associate *any* set of paths to a graph. We can sharpen the result
 375 by requiring functors to preserve specific properties for suitable arrows of $\mathbf{PIDag}(\mathcal{L})$. The
 376 candidates are root extension morphisms, given the properties shown in Section 6. In order
 377 to define the functors, we also need to consider a suitable subset of the arrows of $\mathbf{SPath}(\mathcal{L})$.

378 ▶ **Definition 34** (Saturated specifications). *Let \mathcal{S} be a specification. It is saturated if for all*
 379 *graphs \mathbf{G} and extensions $\mathbf{G}_\mathcal{E}^\ell$ the inclusion $\mathbf{f} : \mathcal{E}_G \rightarrow \mathcal{E}_{G_\mathcal{E}^\ell}$ is saturated with respect to $\mathcal{S}(\mathbf{G}_\mathcal{E}^\ell)$ (see*
 380 *Lemma 18), that is*

$$381 \quad \forall \mathbf{G}, \mathcal{E}, \ell. \mathcal{S}(\mathbf{G}_\mathcal{E}^\ell) = \mathbf{sat}(\mathbf{ret}(\mathcal{S}(\mathbf{G}_\mathcal{E}^\ell), \mathbf{f}), \mathbf{f})$$

382 A *saturation ps-morphism* (along ℓ) is a saturated ps-morphism $\mathbf{f} : \mathcal{X}_1 \rightarrow \mathcal{X}_2$ with
 383 underlying function $(\mathcal{E}, \lambda) \rightarrow (\mathcal{E}_\top, \lambda[\top \mapsto \ell])$. We can now prove an instance of Theorem 33
 384 concerning saturated specifications.

385 ▶ **Proposition 35.** *Let $\mathbb{F} : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$ be a functor mapping root extension*
 386 *morphisms into saturation ps-morphisms (along the same labels). If \mathbb{F} weakly preserves finite*
 387 *colimits, it induces a saturated, coherent specification $\mathbb{S}(\mathbb{F})$.*

388 **Proof.** We first show that \mathbb{F} preserves monos, which renders the assumption of Theorem 33
 389 about preservation of pullbacks redundant. We will essentially follow the proof of Proposi-
 390 tion 29. Given $\mathbf{f} : \mathbf{G}_1 \rightarrow \mathbf{G}_2$ in $\mathbf{PIDag}(\mathcal{L})$, we proceed by induction on the cardinality of $\mathcal{E}_{\mathbf{G}_2}$.
 391 If $\mathcal{E}_{\mathbf{G}_2}$ is 0, i.e., it is the initial object, then \mathbf{f} is the identity on 0, and the claim follows by
 392 functors preserving identities. Suppose now that \mathbf{G}_2 is rooted with root e . If $e \in \text{img}(\mathbf{f})$,
 393 then \mathbf{f} again is the identity. Otherwise, \mathbf{f} can be decomposed as $\mathbf{G}_1 \rightarrow (\mathbf{G}_2 \setminus e) \rightarrow \mathbf{G}_2$: the
 394 left-most one satisfies the induction hypothesis, and the right-most one is a root extension
 395 morphism, which by hypothesis is mapped to a (monic) saturation ps-morphism. Therefore,
 396 by functoriality of \mathbb{F} , the claim holds for the composition of these morphisms. If \mathbf{G}_2 is not
 397 rooted, then \mathbf{f} can be similarly decomposed as $\mathbf{G}_1 \rightarrow \mathbf{G}_2|_{[e_1]} \rightarrow \mathbf{G}_2$. By induction the claim
 398 holds for the left-most morphism. The right-most one is obtained via a pushout of the form
 399 (7.1), which is mapped by \mathbb{F} to a pushout of the form (7.2), because \mathbb{F} (weakly) preserves finite

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400 colimits. By induction hypothesis, the span of this pushout consists of monic ps-morphisms,
 401 therefore we use Lemma 24 to conclude that the pushout morphisms are monic as well, hence
 402 the right-most morphism satisfies our claim. Again, the claim for the whole of \mathbf{f} follows from
 403 functoriality of \mathbb{F} . A similar inductive argument can be used to show that $\mathbb{F}(\mathbf{G})$ is a set of
 404 paths over $(\mathcal{E}_{\mathbf{G}}, \lambda_{\mathbf{G}})$ (up to a label-preserving isomorphism of events). Therefore we can now
 405 re-use the proof of Theorem 33 and obtain that $\mathbb{S}(\mathbb{F})$ is a coherent specification.

406 It remains to be shown that $\mathbb{S}(\mathbb{F})$ is saturated, that is $\mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell}) = \mathbf{sat}(\mathbf{ret}(\mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell}), \mathbf{f}), \mathbf{f})$.
 407 If $\mathbf{G}_{\mathcal{E}}^{\ell}$ is rooted, this follows from \mathbb{F} mapping root extensions to saturation ps-morphisms.
 408 Otherwise, by coherence, $\mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell})$ can be decomposed into the product $\bigotimes_{e \in (\mathcal{E}_{\mathbf{G}})_{\top}} \mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell}|_{\lfloor e \rfloor})$. For
 409 each component of the product we have a root extension $\mathbf{G}_{\mathcal{E}}^{\ell}|_{\lfloor e \rfloor} \setminus e \rightarrow \mathbf{G}_{\mathcal{E}}^{\ell}|_{\lfloor e \rfloor}$, which is mapped
 410 by \mathbb{F} to a saturation ps-morphism, therefore we have $\mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell}|_{\lfloor e \rfloor}) = \mathbf{sat}(\mathbf{ret}(\mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell}|_{\lfloor e \rfloor}), \mathbf{f}_e), \mathbf{f}_e)$,
 411 where \mathbf{f}_e is the underlying function between events of the root extension. Saturation of $\mathbb{F}(\mathbf{G}_{\mathcal{E}}^{\ell})$
 412 follows by computing the product of these sets of paths. \blacktriangleleft

413 7.3 More Completeness

414 The need of finding a suitable image for root extension morphisms allows for alternative
 415 choices. To this end, we introduce a different subset of the arrows of $\mathbf{SPath}(\mathcal{L})$.

416 **► Definition 36** (Path extension/prefixing). *Let P be a path and $\mathbf{f} : (\mathcal{E}_P, \lambda_P) \rightarrow (\mathcal{E}, \lambda)$ a*
 417 *function preserving labels. The extension of P along \mathbf{f} is defined as*

$$418 \quad \mathbf{ext}(P, \mathbf{f}) = \{Q \mid Q \in \mathbb{P}(\mathcal{E}, \lambda) \text{ and } \mathbf{f} \text{ induces a pr-morphism } \mathbf{f} : P \rightarrow Q\}$$

419 *Similarly, let Q be a path and $\mathbf{f} : \mathcal{E} \rightarrow \mathcal{E}_Q$ a function preserving labels. The prefixing of Q*
 420 *along \mathbf{f} is defined as*

$$421 \quad \mathbf{pre}(Q, \mathbf{f}) = \{P \mid P \in \mathbb{P}(\mathcal{E}, \lambda) \text{ and } \mathbf{f} \text{ induces a pr-morphism } \mathbf{f} : P \rightarrow Q\}$$

422 Both definitions immediately extend to sets of paths. Should \mathbf{f} be injective, $\mathbf{pre}(Q, \mathbf{f})$
 423 would be a singleton, and if \mathbf{f} is an inclusion, then $\mathbf{pre}(Q, \mathbf{f}) = Q|_{\mathcal{E}}$, for the latter a prefix of
 424 Q . Also, note that similarly P has to be a prefix for all the paths in $\mathbf{ext}(P, \mathbf{f})$.

► **Example 37.** A topological specification \mathcal{S}_{topR} for a **Register** can be defined as \mathcal{S}_{lwwR} in
 Example 13 with the additional requirement that paths are topological orderings of visibilities

$$P \in \mathcal{S}_{topR}(\mathbf{G}) \text{ iff } P \in \mathcal{S}_{lwwR}(\mathbf{G}) \text{ and } \prec_{\mathbf{G}} \subseteq \leq_P$$

425 In this way, $\mathcal{S}_{topR}(\mathbf{G})$ excludes e.g. the two right-most arbitrations of the equation in Figure 1a.

426 **► Definition 38** (Topological specifications). *Let \mathcal{S} be a specification. It is topological if*

$$427 \quad \forall \mathbf{G}, \mathcal{E}, \ell. \mathcal{S}(\mathbf{G}_{\mathcal{E}}^{\ell}) = \mathbf{ext}(\mathbf{pre}(\mathcal{S}(\mathbf{G}_{\mathcal{E}}^{\ell}), \mathbf{f}), \mathbf{f})$$

428 A *topological ps-morphism* (along ℓ) is a ps-morphism $\mathbf{f} : \mathcal{X}_1 \rightarrow \mathcal{X}_2$ with underlying
 429 function $(\mathcal{E}, \lambda) \rightarrow (\mathcal{E}_{\top}, \lambda[\top \mapsto \ell])$ such that $\mathcal{X}_2 = \mathbf{ext}(\mathbf{pre}(\mathcal{S}(\mathcal{X}_2), \mathbf{f}), \mathbf{f})$. The name is
 430 directly reminiscent of what are called topological RDTs in [10, 5], and in fact it similarly
 431 guarantees that arbitrations preserve the visibility order. We can thus prove another instance
 432 of Theorem 33, now concerning topological specifications.

433 **► Proposition 39.** *Let $\mathbb{F} : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$ be a functor mapping root extension*
 434 *morphisms into topological ps-morphisms (along the same labels). If \mathbb{F} weakly preserves finite*
 435 *colimits, it induces a topological, coherent specification $\mathbb{S}(\mathbb{F})$.*

436 7.4 Interchangeability of Functors and Coherent Specifications

437 The connection between the construction of Theorem 32 and Theorem 33 is quite tight, and
438 in fact induces a one-to-one correspondence between functors and coherent specifications.

439 ► **Theorem 40.** *Let \mathcal{S} be a coherent specification. Then $\mathbb{S}(\mathbb{M}(\mathcal{S})) = \mathcal{S}$. Conversely, let
440 $\mathbb{F} : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$ be a functor verifying the hypothesis of Theorem 33. Then
441 $\mathbb{M}(\mathbb{S}(\mathbb{F})) \simeq \mathbb{F}$.*

442 **Proof.** We first show that $\mathbb{M}(\mathbb{S}(\mathbb{F})) \simeq \mathbb{F}$. For notational convenience, we denote $\mathbb{M}(\mathbb{S}(\mathbb{F}))$
443 by \mathbb{M}' . We will show the existence of a natural isomorphism $\varphi : \mathbb{M}' \Rightarrow \mathbb{F}$. By definition,
444 we have $\mathbb{M}'(\mathbb{G}) = \mathbb{S}(\mathbb{F})(\mathbb{G}) = \mathbb{F}(\mathbb{G})$, therefore we can define $\varphi_{\mathbb{G}} = \text{Id}_{\mathbb{F}(\mathbb{G})}$. We need to prove
445 that it is natural, which in this case amounts to showing $\mathbb{M}'(\mathbf{f}) = \mathbb{F}(\mathbf{f})$, for $\mathbf{f} : \mathbb{G} \rightarrow \mathbb{G}'$ in
446 $\mathbf{PIDag}(\mathcal{L})$. This follows from $\mathbb{M}'(\mathbf{f})$ and $\mathbb{F}(\mathbf{f})$ having the same underlying function between
447 events, namely the inclusion $(\mathcal{E}_{\mathbb{G}}, \lambda_{\mathbb{G}}) \rightarrow (\mathcal{E}_{\mathbb{G}'}, \lambda_{\mathbb{G}'})$.

448 Now we show that $\mathbb{S}(\mathbb{M}(\mathcal{S})) = \mathcal{S}$ for any coherent specification \mathcal{S} . This follows directly
449 from the definition of \mathbb{M} and \mathbb{S} . In fact, $\mathbb{S}(\mathbb{M}(\mathcal{S}))(\mathbb{G}) = \mathbb{M}(\mathcal{S})(\mathbb{G}) = \mathcal{S}(\mathbb{G})$. ◀

450 The one-to-one correspondence can be lifted to the specific classes of saturated/topological
451 coherent specifications and to the functors of Proposition 35/Proposition 39, respectively.
452 However, what is most relevant is the fact the interchangeability allows one to leverage the
453 categorical machinery of the functor category for providing operators on specifications.

454 ► **Remark 41.** Besides coherence, one of the keys of the previous correspondence is the (quite
455 reasonable) choice of specifications that preserve isomorphisms. In general terms, whenever
456 one needs to consider the relationship between different specifications, it is necessary to take
457 into account how the underlying sets of events are related. This is quite easy to accomplish
458 if we move to the functorial presentation. For example, we can say that a specification \mathcal{S}_1
459 refines a specification \mathcal{S}_2 if $\mathcal{S}_1(\mathbb{G}) \subseteq \mathcal{S}_2(\mathbb{G})$ for all graphs \mathbb{G} . However, this is a very concrete
460 characterisation: it would be more general to check for the existence of a ps-morphism
461 $\mathcal{S}_2(\mathbb{G}_2) \rightarrow \mathcal{S}_1(\mathbb{G}_1)$ whose underlying function $\mathbf{f} : \mathcal{E}_{\mathbb{G}_2} \rightarrow \mathcal{E}_{\mathbb{G}_1}$ is a bijection, in order to abstract
462 from the identities of the events. In this case, a further constraint would be that \mathbf{f} is
463 preserved along the image of the morphisms in $\mathbf{PIDag}(\mathcal{L})$. These requirements boil down to
464 the existence of a natural transformation $\mathbb{M}(\mathcal{S}_2) \rightarrow \mathbb{M}(\mathcal{S}_1)$.

465 8 Conclusions and Further Works

466 In this paper we have provided a functorial characterisation of RDT specifications. Our
467 starting point is the denotational approach proposed in [7, 6], in which RDT specifications
468 are associated with functions mapping visibility graphs into sets of admissible arbitrations
469 that are also saturated and coherent, and where a preliminary functorial correspondence was
470 proposed. In this paper we streamlined and expanded the latter result. We considered the
471 category $\mathbf{PDag}(\mathcal{L})$ of labelled, acyclic graphs and pr-morphisms for representing visibility
472 graphs. We equip $\mathbf{PDag}(\mathcal{L})$ with operators that model the evolution of visibility graphs
473 and we show that the sub-category $\mathbf{PIDag}(\mathcal{L})$ of monic morphisms can be generated by the
474 subset of root extensions via pushouts. For arbitrations, we take $\mathbf{SPath}(\mathcal{L})$, which is the
475 category of sets of labelled, total orders and ps-morphisms. Then, we show that each coherent
476 specification mapping isomorphic graphs into isomorphic set of paths induces a functor $\mathbb{M}(\mathcal{S}) :
477 \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})$. Conversely, we prove that a functor $\mathbb{F} : \mathbf{PIDag}(\mathcal{L}) \rightarrow \mathbf{SPath}(\mathcal{L})
478 that preserves finite colimits and binary pullbacks induces an coherent specification $\mathbb{S}(\mathbb{F})$.
479 Moreover, $\mathbb{M}(\mathcal{S})$ and $\mathbb{S}(\mathbb{F})$ are shown to be inverses of each other.$

480 With respect to the categorical results expressed in [7], besides the additional charac-
 481 terisation of topological specifications, the key improvement has been the proof that the
 482 coherence of specifications has a precise counterpart in terms of the weak preservation of
 483 colimits on their functorial presentations, as stated by Theorem 32 and Theorem 33. We thus
 484 removed the set-theoretical requirements occurring e.g. in [7, Section 5.3], as witnessed by the
 485 definition of *coherent* functor there. We believe that this purely functorial characterisation of
 486 RDTs, as further witnessed by Proposition 35 and Proposition 39, provides an ideal setting
 487 for the development of techniques for handling RDT composition, as briefly pointed out by
 488 the functorial characterisation of refinement between specifications. Our long term goal is to
 489 equip RDT specifications with a set of operators that enables us to specify and reason about
 490 complex RDTs compositionally, i.e., in terms of their constituent parts. We aim at providing
 491 a uniform formal treatment to the compositional approaches proposed in [1, 10, 12].

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